# The Riemann Hypothesis for Period Polynomials of Modular and Hilbert Modular Forms

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April 27, 2021

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The Riemann Hypothesis for Period Polynomials (RHPP) is the assertion that all the roots of period polynomials of modular forms lie on a circle centered at the origin.

- Conrey, Farmer and Imamoglu (2013): the odd part of the period polynomial for any level 1 cusp form has roots on the unit circle.
- El-Guindy and Raji (2014): extend to the full polynomial
- Jin, Ma, Ono and Soundararajan (2016): generalized the result for modular forms of higher levels
- Diamantis and Rolen (2018): conjecture for period polynomials associated to higher derivatives of *L*-functions
- Babei, Rolen and Wagner (2021): analogous result for Hilbert modular forms on the full Hilbert modular group.

# Modular Forms on $SL_2(\mathbb{Z})$

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Define the full modular group

$$\Gamma := SL_2(\mathbb{Z}) = \bigg\{ egin{pmatrix} a & b \ c & d \end{pmatrix} : a, b, c, d \in \mathbb{Z}, ad - bc = 1 \bigg\}.$$

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 $SL_2(\mathbb{Z})$  acts on  $\mathbb{H}$  in the standard way by *Möbius* transformations:

For 
$$z \in \mathbb{C}$$
 and  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ ,  $\gamma . z = \frac{az + b}{cz + d}$ 

A modular form of weight  $k \in \mathbb{Z}$  on  $\Gamma$  is a holomorphic function  $f : \mathbb{H} \to \mathbb{C}$  satisfying

• 
$$f(\gamma z) = (cz + d)^k f(z)$$
 for  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ 

• f is holomorphic at  $\infty$  (or  $f(z) = \sum_{n=0}^{\infty} c(n)e^{2\pi i n z}$ ).

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### Remark

For  $\gamma = -I$ ,  $f(-Iz) = (-1)^k f(z)$ ; but f(-Iz) = f(z), then non-zero modular forms must be of even weight.

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### Definition

If c(0) = 0 in the preceding definition (i.e. f vanishes at  $\infty$ ), we say that f is a cusp form.

We denote by  $M_k$  the space of modular forms of weight k on  $\Gamma$ , and by  $S_k$  that of cusp forms.

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### Theorem

Let  $f \in S_k$  with  $f(z) = \sum_{n=1}^{\infty} a(n)e^{2\pi i n z}$ . Then the Fourier coefficients a(n) of f satisfy  $a(n) = O\left(\pi^{\frac{k}{2}}\right)$ 

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### Theorem

Let  $f \in S_k$  with  $f(z) = \sum_{n=1}^{\infty} a(n)e^{2\pi i n z}$ . Then the Fourier coefficients a(n) of f satisfy

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Corollary

If k < 0 and  $f \in S_k$ , then  $f \equiv 0$ .

## The Hecke operators $T_n$

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### Definition

For a fixed integer k and any  $n = 1, 2, ..., the operator T_n$  is defined on  $M_k$  by the equation

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Observe that writing n = ad and letting  $A = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$ , we can write

$$(T_n f)(z) = n^{k-1} \sum_{\substack{a \ge 1, ad = n \\ 0 \le b < d}} d^{-k} f(Az) = \frac{1}{n} \sum_{\substack{a \ge 1, ad = n \\ 0 \le b < d}} a^k f(Az).$$

If f has the Fourier expansion at  $\infty$ 

$$f=\sum_{m=0}^{\infty}c(m)e^{2\pi imz}$$

then

$$T_n f(z) = \sum_{m=0}^{\infty} \gamma_n(m) e^{2\pi i m z}$$

where

$$\gamma_n(m) = \sum_{d \mid (n,m)} d^{k-1} c\left(\frac{mn}{d^2}\right).$$

If 
$$f \in M_k$$
 and  $V = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \Gamma$ , then  
 $T_n f(Vz) = (\gamma z + \delta)^k T_n f(z).$ 

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### Corollary

If  $f \in M_k$  then  $T_n f \in M_k$ . Moreover, if f is a cusp form, then  $T_n f$  is also a cusp form.

A non-zero function f satisfying a relation of the form

$$T_n f = \lambda(n) f$$

for some complex scalar  $\lambda(n)$  is called an eigenform of the operator  $T_n$ . Moreover, if f is an eigenform for every Hecke operator  $T_n$ ,  $n \ge 1$ , then f is called a simultaneous eigenform. A simultaneous eigenform is said to be normalized if c(1) = 1, where  $f(z) = \sum_{m=0}^{\infty} c(m)e^{2\pi imz}$ .

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#### Theorem

Let k be an even integer and  $0 \neq f \in S_k$  with  $f(z) = \sum_{m=1}^{\infty} c(m)e^{2\pi i m z}$ . Then f is a normalized simultaneous eigenform if and only if

$$c(m)c(n) = \sum_{d|(n,m)} d^{k-1}c\left(\frac{mn}{d^2}\right)$$

for all  $m, n \geq 1$ .

Definition

If  $f(z) = c(0) + \sum_{n=1}^{\infty} c(n)e^{2\pi i n z}$ , we define the Dirichlet *L*-function of fas  $L(f,s) = \sum_{n=1}^{\infty} \frac{c(n)}{n^s}$ 

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## Proposition

If  $f \in S_k$ , then its L-function L(f, s) converges absolutely for  $\Re(s) > 1 + \frac{k}{2}$ .

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## Proposition

If  $f \in S_k$ , then its L-function L(f, s) converges absolutely for  $\Re(s) > 1 + \frac{k}{2}$ .

#### Theorem

If f is a normalized Hecke eigenform, then

$$L(f,s) = \prod_{p \text{ prime}} \frac{1}{1 - c(p)p^{-s} + p^{k-1-2s}}.$$

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For  $f \in S_k$ , define the completed *L*-function  $\Lambda(f, s)$  of f by taking the Mellin transform of f along the upper imaginary axis i.e.

$$\Lambda(f,s)=\int_0^\infty f(iy)y^{s-1}\,dy.$$

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### Theorem

We have

$$\Lambda(f,s) = \frac{\Gamma(s)}{(2\pi)^s} L(f,s)$$

for  $\Re(s) > 1 + \frac{k}{2}$ , where  $\Gamma(s) = \int_0^\infty e^{-y} y^{s-1} dy$  is the Euler gamma function.

 $\Lambda(f, s)$  extends holomorphically to the complex plane and satisfies the functional equation

$$\Lambda(f,s) = \epsilon(f)\Lambda(f,k-s)$$

for all  $s \in \mathbb{C}$ , where  $\epsilon(f) = \pm 1$ .

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## Corollary

If 
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 and  $k \equiv 2 \pmod{4}$ , then  $\Lambda(f, \frac{k}{2}) = 0 = L(f, \frac{k}{2})$ .

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L(f,s) extends to a holomorphic function on  $\mathbb{C}$  and satisfies the functional equation

$$\frac{(2\pi)^{k-s}}{\Gamma(k-s)}L(f,s) = i^k \frac{(2\pi)^s}{\Gamma(s)}L(f,k-s)$$

for all  $s \in \mathbb{C}$ .

## **Period Polynomials**

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For  $X \in \mathbb{C}$  and a cusp form  $f \in S_k$  we define the period polynomial of f by the integral transformation

$$r_f(X) = \int_0^{i\infty} (z-X)^{k-2} f(z) \, dz.$$

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#### Theorem

For  $f \in S_k$  and  $X \in \mathbb{C}$  we have

$$r_f(X) = \sum_{n=0}^{k-2} {\binom{k-2}{n}} (-X)^{k-n-2} i^{n+1} \Lambda(f, n+1)$$
$$= -\sum_{n=0}^{k-2} {\binom{k-2}{n}} X'(-i)^{k-n-1} \Lambda(f, k-n-1).$$

## Corollary

For  $f \in S_k$  and  $X \in \mathbb{C}$  we have

$$r_f(X) = -\sum_{n=0}^{k-2} \frac{(k-2)!}{n!} \frac{L(f,k-n-1)}{(2\pi i)^{k-n-1}} X^n.$$

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$$r_f(X) = -i^k \epsilon(f) X^{k-2} r_f\left(-\frac{1}{X}\right).$$

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This "self-inversive" property of the period polynomial, shows that if  $\rho$  is a zero of  $r_f(X)$  then so is  $-\frac{1}{\rho}$ ; and so the unit circle is a natural line of symmetry for the period polynomials.

## The Case of the Full Modular Group
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## Definition

A polynomial  $P(z) = \sum_{i=0}^{d} c_i z^i$  of degree d is said to be *self-inversive* if it satisfies

$$P(z) = \epsilon z^d \bar{P}\left(\frac{1}{z}\right)$$

for some constant  $\epsilon$  of modulus 1, where  $\bar{P}(z) := \sum_{i=0}^{d} \bar{c}_i z^i$  and the bar denotes complex conjugation.

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#### Lemma

Let h(z) be a nonzero polynomial of degree n with all its zeros in  $|z| \le 1$ . Then for  $d \ge n$  and any  $\lambda$  with  $|\lambda| = 1$ , the self-inversive polynomial

$$P^{\{\lambda\}}(z) = z^{d-n}h(z) + \lambda z^n \bar{h}\left(\frac{1}{z}\right)$$

has all its zeros on the unit circle.

For  $w = k - 2 \in 2\mathbb{N}$ , we have

$$r_f(X) = -\frac{w!}{(2\pi i)^{w+1}} \sum_{n=0}^{w} L(f, w - n + 1) \frac{(2\pi i X)^n}{n!}.$$

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For convenience, we consider the polynomial with real coefficients

$$p_f(X) = -\frac{(2\pi i)^{w+1}}{w!} r_f\left(\frac{X}{i}\right) = \sum_{n=0}^w L(f, w - n + 1) \frac{(2\pi X)^n}{n!}.$$

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### Proposition

 $p_f(X)$  is self-inversive and can be written as

$$p_f(X) = q_f(X) + i^k X^w q_f\left(\frac{1}{X}\right)$$

#### where

$$q_f(X) = \sum_{n=0}^{\frac{w}{2}-1} L(f, w-n+1) \frac{(2\pi X)^n}{n!} + \frac{1}{2} L(f, k/2) \frac{(2\pi X)^{w/2}}{(w/2)!}$$

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#### Lemma

Let  $f \in S_k$  be a normalized Hecke eigenform and let L(f, s) be its associated L-function. Then, for  $s \ge 3k/4$ , we have

$$|L(f,s)-1| \leq 5 \times 2^{-k/4}$$

and, for  $s \ge k/2$ , we have

 $L(f,s) \leq 1 + 4\sqrt{k}\log(2k).$ 

Therefore,  $r_f(X)$  would have all its zeros on |z| = 1 if and only if  $q_f(X)$  has all its zeros in  $|z| \le 1$ .

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For  $m \ge 25$ ,  $H_m(z)$  has all its m zeros in |z| < 1.

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#### Theorem

If  $f \in S_k$  is a Hecke eigenform, then  $r_f(X)$  has all its zeros on the unit circle.

Proof.

Put m = k/2 - 1 = w/2, then for k large enough and |X| = 1

 $|q_f(X) - H_m(X)| < |H_m(X)|$ 

It follows from Rouché's theorem that  $q_f(X)$  has the same number of zeros as  $H_m(X)$  inside the unit circle.

The principle subgroup of  $SL_2(\mathbb{Z})$  of level  $N \in \mathbb{N}$  is given by

$$\Gamma(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \mod N \right\}.$$

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We are interested in the congruence subgroup

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A cusp of a congruence subgroup G is an element  $z \in \mathbb{R} \cup \{\infty\}$  which is fixed by a parabolic element  $\alpha$  of G, i.e.  $\exists \alpha \in G$  parabolic such that  $\alpha z = z$ .

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$$f(\gamma z) = (cz + d)^k f(z)$$
 for  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N)$ 

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We denote by  $M_k(\Gamma_0(N))$  the space of modular forms of weight k and level N.

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#### Definition

If  $f \in M_k(\Gamma_0(N))$  and  $f(z) \to 0$  as z tends to any cusp, then f is said to be a cusp form and we write  $f \in S_k(\Gamma_0(N))$ .

A form  $f \in S_k(\Gamma_0(N))$  is a newform if it is a normalized eigenform which cannot be constructed from modular forms of lower levels M dividing N. The other forms are called oldforms. These oldforms can be constructed using the following observations: if  $M \mid N$  then  $\Gamma_0(N) \subset \Gamma_0(M)$  giving a reverse inclusion of modular forms  $M_k(\Gamma_0(M)) \subset M_k(\Gamma_0(N))$ . The space of newforms of level N is denoted by  $S_k^{\text{new}}(\Gamma_0(N))$ . Let k be even and  $f \in S_k^{\text{new}}(\Gamma_0(N))$ .

Let k be even and  $f \in S_k^{\text{new}}(\Gamma_0(N))$ . Associated to f is its L-function

$$L(f,s) = \sum_{n=1}^{\infty} \frac{a(n)}{n^s} = \prod_{p \text{ prime}} (1 - a(p)p^{-s} + \mathbf{1}_N(p)p^{k-1-2s})^{-1}$$

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where  $\mathbf{1}_N(p)$  is 1 when  $p \nmid N$  and is 0 when  $p \mid N$ . Its completed *L*-function is defined by

$$\Lambda(f,s) = N^{s/2} \int_0^\infty f(iy) y^{s-1} \, dy$$

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satisfying, as before,

$$\Lambda(f,s) = \left(\frac{\sqrt{N}}{2\pi}\right)^s \Gamma(s) L(f,s)$$

and the functional equation

$$\Lambda(f,s) = \epsilon(f)\Lambda(f,k-s),$$

with  $\epsilon(f) = \pm 1$ .

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$$r_f(z) = \int_0^{i\infty} f(\tau)(\tau-z)^{k-2} d\tau.$$

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#### Theorem

The period polynomial of f satisfies

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#### Corollary

The period polynomial of f further satisfies

$$r_f(z) = -\frac{(k-2)!}{(2\pi i)^{k-1}} \sum_{n=0}^{k-2} \frac{(2\pi i z)^n}{n!} L(f, k-n-1).$$

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For 
$$f \in S_k^{\text{new}}(\Gamma_0(N))$$
, put  $m = \frac{k-2}{2}$  and define

$$P_f(z) = \frac{1}{2} \binom{2m}{m} \Lambda(f, \frac{k}{2}) + \sum_{j=1}^m \binom{2m}{m+j} \Lambda(f, \frac{k}{2}+j) z^j.$$

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### Proposition

The period polynomial of f satisfies

$$r_f\left(\frac{z}{i\sqrt{N}}\right) = i^{k-1}N^{-\frac{k-1}{2}}\epsilon(f)z^m\left(P_f(z) + \epsilon(f)P_f\left(\frac{1}{z}\right)\right).$$

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Therefore,  $r_f(z)$  would have all its zeros on  $|z| = 1/\sqrt{N}$  if and only if  $P_f(z) + \epsilon(f)P_f(1/z)$  has all its zeros on the unit circle.

#### Lemma

Let  $f \in S_k^{new}(\Gamma_0(N))$ . Then the function  $\Lambda(f, s)$  is monotone increasing for  $s \ge \frac{k}{2} + \frac{1}{2}$ . Moreover, we have

$$0 \leq \Lambda(f, \frac{k}{2}) \leq \Lambda(f, \frac{k}{2}+1) \leq \Lambda(f, \frac{k}{2}+2) \leq \ldots$$

If  $\epsilon(f) = -1$ , then  $\Lambda(f, \frac{k}{2}) = 0$  and

$$0 \leq \Lambda(f, \frac{k}{2} + 1) \leq \frac{1}{2}\Lambda(f, \frac{k}{2} + 2) \leq \frac{1}{3}\Lambda(f, \frac{k}{2} + 3) \leq \dots$$
#### Lemma

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Proof.

We can write

$$\Lambda(f,s) = e^{\mathcal{A}+\mathcal{B}s} \prod_{\rho} \left(1-\frac{s}{\rho}\right) e^{s/\rho}$$

where the product is over all the zeros of  $\Lambda(f, s)$ .

#### Lemma

If  $f \in S_k^{new}(\Gamma_0(N))$  and  $0 < a \le b$ , then

$$\frac{L(f,\frac{k+1}{2}+a)}{L(f,\frac{k+1}{2}+b)} \leq \frac{\zeta(1+a)^2}{\zeta(1+b)^2}$$

where  $\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$  is the Riemann zeta function.

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where  $\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$  is the Riemann zeta function.

Proof.

We have that

$$-\frac{\zeta'}{\zeta}(s) = \sum_{n=1}^{\infty} \frac{\Lambda(n)}{n^s}$$

and

$$-\frac{L'}{L}(f,s) = \sum_{n=1}^{\infty} \frac{\Lambda_f(n)}{n^s}$$

where  $|\Lambda_f(n)| \leq 2n^{\frac{k-1}{2}}\Lambda(n)$ .

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#### Proof.

Here m = (k - 2)/2 = 1, so  $P_f(z) = \Lambda(f, 2) + \Lambda(f, 3)z$ . If  $\epsilon(f) = -1$ , then the roots of  $P_f(z) - P_f(1/z) = \Lambda(f, 3)(z - 1/z)$  are at  $z = \pm 1$ , which lie on the unit circle. If  $\epsilon(f) = 1$ , then for  $z = e^{i\theta}$  on the unit circle,  $P_f(z) + P_f(1/z) = 2\Lambda(f, 2) + \Lambda(f, 3)(e^{i\theta} + e^{-i\theta}) = 2\Lambda(f, 2) + 2\Lambda(f, 3)\cos(\theta)$ , which vanishes when  $\cos(\theta) = -\Lambda(f, 2)/\Lambda(f, 3)$ . But,  $\Lambda(f, 2) < \Lambda(f, 3)$ , and so the equation has two solutions for  $\theta \in [0, 2\pi)$ .

# For k = 6, $P_f(z) + \epsilon(f)P_f(1/z)$ has all its zeros on the unit circle.

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### Proof.

If 
$$\epsilon(f) = -1$$
, we do the same as above.  
If  $\epsilon(f) = 1$ , letting  $z = e^{i\theta}$  we have

$$P_f(z) + P_f\left(\frac{1}{z}\right) = 6\Lambda(f,3) + 8\Lambda(f,4)\cos\theta + 2\Lambda(f,5)\cos2\theta.$$

We want to show this has two zeros in  $[0, \pi)$  and thus four zeros in  $[0, 2\pi)$ . Note that

$$\frac{d}{d\theta}\left[P_f(e^{i\theta})+P_f(e^{-i\theta})\right]=-8\sin\theta(\Lambda(f,4)+\Lambda(f,5)\cos\theta),$$

we have critical points at  $0, \pi$  and the solution  $\theta_0 \in [0, \pi)$  to  $\cos \theta = -\frac{\Lambda(f, 4)}{\Lambda(f, 5)}$ .

## Proof.

To get two roots in  $[0, \pi)$  we need  $P_f(e^{i\theta}) + P_f(e^{-i\theta})$  to be positive at  $\theta = 0$  and  $\pi$  and negative at  $\theta = \theta_0$ . At  $\theta = 0$ ,  $P_f(e^{i\theta}) + P_f(e^{-i\theta}) = 6\Lambda(f, 3) + 8\Lambda(f, 4) + 2\Lambda(f, 5) > 0$ . Positivity at  $\theta = \pi$  is equivalent to

 $\Lambda(f,5) + 3\Lambda(f,3) + > 4\Lambda(f,4)$ 

while negativity at  $\theta = \theta_0$  is equivalent to

$$\Lambda(f,5)^2 + 2\Lambda(f,4)^2 < 3\Lambda(f,3)\Lambda(f,5).$$

We show these inequalities using a clever application of the Hadamard formula from earlier.

We have for  $z = e^{i\theta}$ 

$$P_f(z) + P_f\left(\frac{1}{z}\right) = \binom{2m}{m} \Lambda(f, \frac{k}{2}) + 2\sum_{j=1}^m \binom{2m}{m+j} \Lambda(f, \frac{k}{2}+j) \cos(j\theta),$$

and

$$P_f(z) - P_f\left(\frac{1}{z}\right) = 2\sum_{j=1}^m \binom{2m}{m+j} \Lambda(f, \frac{k}{2}+j) \sin(j\theta).$$

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#### Theorem

For  $8 \le k \le 14$ ,  $P_f(z) + \epsilon(f)P_f(1/z)$  has all its zeros on the unit circle.

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### Proof.

Using classical work of Pólya and Szegö on trigonometric polynomials, together with our lemmas, the result is true if

$$N \ge \max_{1 \le j \le k/2 - 2} \left(\frac{2\pi}{k/2 - j - 1}\right)^2 \frac{\zeta(j + 1/2)^4}{\zeta(j + 3/2)^4}.$$

### Proof.

For any given k, we can compute this bound. Thus, for k = 8 it suffices to take  $N \ge 142$ ; for k = 10 it suffices to have  $N \ge 64$ ; for k = 12 it suffices to have  $N \ge 45$ ; for k = 14 it suffices to have  $N \ge 42$ . We can use PARI to check for those newforms not covered by this bound for weights  $8 \le k \le 14$ .

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#### Remark

Eventually, the inequality cannot furnish a bound better than  $4\pi^2$  for N, and so we must turn to another approach for large k and small N.

# Proposition

 $P_f(z)$  can be written as

$$P_f(z) = (2m)! \left(\frac{\sqrt{N}}{2\pi}\right)^{2m+1} L(f, 2m+1)Q_f(z)$$

where

$$Q_f(z) = z^m \sum_{j=0}^{m-1} \frac{1}{j!} \left(\frac{2\pi}{z\sqrt{N}}\right)^j \frac{L(f, 2m+1-j)}{L(f, 2m+1)} \\ + \frac{1}{2(m!)^2} \left(\frac{2\pi}{\sqrt{N}}\right)^{2m+1} \frac{\Lambda(f, \frac{k}{2})}{L(f, 2m+1)}.$$

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Therefore, we need to study the zeros of

$$\left(Q_f(z)+\epsilon(f)Q_f\left(rac{1}{z}\right)
ight).$$

But, note that

$$Q_f(z) - Q_f\left(\frac{1}{z}\right) = 2\Im\left(Q_f(z)\right)$$

 $\mathsf{and}$ 

$$Q_f(z) + Q_f\left(\frac{1}{z}\right) = 2\Re\left(Q_f(z)\right).$$

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$$Q_f(z) + Q_f\left(\frac{1}{z}\right) = 2\Re\left(Q_f(z)\right).$$

## Theorem

For  $k \ge 16$ , the real and imaginary parts of  $Q_f(z)$  have all their zeros on the unit circle.

# Algebraic Detour

Let  $\mathbb{Q} \subset K \subset \mathbb{C}$  be a field. We can consider K as a vector space over  $\mathbb{Q}$ . Let  $\mathbb{Q} \subset K \subset \mathbb{C}$  be a field.

We can consider K as a vector space over  $\mathbb{Q}$ .

K is called an algebraic number field if the dimension of this vector space is finite. This dimension is called the degree of K.

The smallest K which contains a is denoted by

 $K = \mathbb{Q}(a).$ 

### Theorem

Let K be a number field of degree n. Then there are exactly n different embeddings of K in  $\mathbb{C}$ .

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We usually arrange the embeddings in a certain order and denote them by

$$egin{array}{l} \mathcal{K} o \mathcal{K}^{(j)} \subset \mathbb{C} \ \mathcal{a} o \mathcal{a}^{(j)}, \ j=1,\ldots,n_{\mathrm{c}} \end{array}$$

We put the n embeddings together into a single  $\mathbb{Q}$ -linear injective mapping

$$K \to \mathbb{C}^n, \ a \to (a^{(1)}, a^{(2)}, \dots, a^{(n)}).$$

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We put the n embeddings together into a single  $\mathbb{Q}$ -linear injective mapping

$$K \to \mathbb{C}^n, \ a \to (a^{(1)}, a^{(2)}, \dots, a^{(n)}).$$

An embedding is called real if its image is contained in  $\mathbb{R}$ . K is called totally real if it admits only real embeddings.

The *trace* and *norm* of an element  $a \in K$  over  $\mathbb{Q}$  are given, respectively, by

$$Tr(a) = Tr_{\mathcal{K}/\mathbb{Q}}(a) = \sum_{j=1}^n a^{(j)}, \quad N(a) = N_{\mathcal{K}/\mathbb{Q}}(a) = \prod_{j=1}^n a^{(j)}.$$

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#### Definition

Let K be an algebraic number field. The ring of integers of K is defined as

$$\mathcal{O}_{K} = K \cap \overline{\mathbb{Z}},$$

where  $\overline{\mathbb{Z}}$  is the algebraic closure of  $\mathbb{Z}$ .

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#### Theorem

Let K be a number field of degree n. Then  $\mathcal{O}_K$  is a free  $\mathbb{Z}$ -module of rank n.

Write  $\mathcal{O}_{\mathcal{K}} = \langle a_1, a_2, \dots, a_n \rangle_{\mathbb{Z}}$  and let

$$A = \begin{pmatrix} a_1^{(1)} & a_1^{(2)} & \dots & a_1^{(n)} \\ a_2^{(1)} & a_2^{(2)} & \dots & a_2^{(n)} \\ \vdots & \vdots & \ddots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ a_n^{(1)} & a_n^{(2)} & \dots & a_n^{(n)} \end{pmatrix}.$$

Then the discriminant  $D_K$  of K is given by  $D_K = (det A)^2$ .

# A subset $\mathfrak{a} \subset K$ is called an *ideal* of K if $\mathfrak{a}$ is an $\mathcal{O}_K$ -submodule of K.

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 $N(\mathfrak{a}) := |\mathcal{O}_K/\mathfrak{a}|.$ 

# Hilbert Modular Forms

Let K be a totally real number field of degree n.

Let K be a totally real number field of degree n. If we attach to the matrix

$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(K)$$

the tuple  $(M_1, \ldots, M_n)$  where

$$M_j = \begin{pmatrix} a^{(j)} & b^{(j)} \\ c^{(j)} & d^{(j)} \end{pmatrix}, \ j = 1, \dots, n$$

we obtain an embedding of groups

$$GL_2(K) \hookrightarrow GL_2(\mathbb{R})^n.$$

The group

$$GL_2^+(K) = \left\{ \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(K) : \det \gamma_j > 0 \text{ for } j = 1, \dots, n \right\}$$

acts on  $\mathbb{H}^n$  by coordinate linear fractional transformations, i.e. for  $z = (z_1, \ldots, z_n) \in \mathbb{H}^n$ 

$$z \to \gamma z = (\gamma_i z_i)_i = \left(\frac{a^{(1)}z_1 + b^{(1)}}{c^{(1)}z_1 + d^{(1)}}, \dots, \frac{a^{(n)}z_n + b^{(n)}}{c^{(n)}z_n + d^{(n)}}\right).$$

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We define the full Hilbert modular group to be

$$\Gamma_{\mathcal{K}} := GL_2^+(\mathcal{O}_{\mathcal{K}}).$$

## Definition

A holomorphic function  $f : \mathbb{H}^n \to \mathbb{C}$  is called a holomorphic Hilbert modular form of weight  $(k_1, k_2, \ldots, k_n) \in \mathbb{Z}^n$  for  $\Gamma_K$ , if for all

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_k$$

$$f(\gamma z) = \prod_{i=1}^n \det(\gamma_i)^{-k_i/2} \left( c^{(i)} z_i + d^{(i)} \right)^{k_i} f(z).$$
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If  $k_1 = k_2 = \cdots = k_n := k$  then f is said to have parallel weight, and is simply called a holomorphic Hilbert modular form of weight  $k \in \mathbb{Z}$ . We denote the space holomorphic Hilbert modular forms of weight k on  $\Gamma_K$  by  $M_k(\Gamma_K)$ . Moreover, If  $f \in M_k(\Gamma_K)$  vanishes at the cusps of  $\Gamma_K$ , we call it a cusp form and denote this space by  $S_k(\Gamma_K)$  as usual.  $f \in S_k(\Gamma_K)$  has an associated *L*-function given by

$$L(f,s) := \sum_{\substack{\mathfrak{n}\in\mathcal{O}_{K}\\\mathfrak{n}\neq 0}} \frac{a(\mathfrak{n})}{N(\mathfrak{n})^{s}}.$$

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which satisfies

$$\Lambda(f,s) = \left(\frac{D_{K}}{(2\pi)^{n}}\right)^{s} \Gamma(s)^{n} L(f,s)$$
(1)

and the functional equation

$$\Lambda(f,s) = \epsilon(f)\Lambda(f,k-s)$$

where  $\epsilon(f) \in \{\pm 1\}$ .

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We further define the period polynomial of a parallel weight k Hilbert modular eigenform f as

$$r_f(X) := \int_{i((\mathbb{R}_+)^n/U)} f(\tau) (N(\tau) - X)^{k-2} d\tau.$$

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In analogy with the classical case,

#### Theorem

The period polynomial r<sub>f</sub> of f satisfies

$$r_f(X) = \sum_{\ell=0}^{k-2} (-1)^{\ell} i^{n(k-\ell-1)} \binom{k-2}{\ell} X^{\ell} \Lambda(f, k-\ell-1).$$

let K be a number field of degree n and f be a parallel weight k Hilbert modular eigenform.

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$$P_f(X) = \frac{1}{2} \binom{2m}{m} \Lambda(f, \frac{k}{2}) + \sum_{j=1}^m \binom{2m}{m+j} \Lambda\left(f, \frac{k}{2}+j\right) X^j$$

and

$$Q_f(X) = \frac{1}{\Lambda(f, 2m+1)} P_f(X).$$

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### Proposition

 $r_f(i^{n+2}X)$  is self-inversive and can be written as

$$r_f(i^{n+2}X) = i^{n(2m+1)}\epsilon(f)\Lambda(f, 2m+1)X^m\left[Q_f(X) + \epsilon(f)Q_f\left(\frac{1}{X}\right)\right].$$

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### Proposition

 $r_f(i^{n+2}X)$  is self-inversive and can be written as

$$f_f(i^{n+2}X) = i^{n(2m+1)}\epsilon(f)\Lambda(f,2m+1)X^m\left[Q_f(X) + \epsilon(f)Q_f\left(\frac{1}{X}\right)\right].$$

Then,  $r_f(X)$  would have all its zeros on the unit circle if and only if  $Q_f(X)$  has all its zeros inside the unit circle.

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### Theorem

For k = 4 and k = 6,  $P_f(X) + \epsilon(f)P_f(1/X)$  has all its zeros on the unit circle.

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For large weights, we compare  $Q_f(X)$  to  $X^m$  and use Rouchés Theorem to show  $Q_f(X)$  has all its zeros inside the unit circle. On |X| = 1, we show  $|Q_f(X) - X^m| \le T_n(m)$  where

$$T_n(m) = \frac{1}{2} \frac{\Gamma(m+1)^{n-2}}{\Gamma(2m+1)^{n-1}} \left(\frac{(2\pi)^n (n!)^2}{n^{2n}}\right)^m \left(\frac{11}{5}\right)^n \\ + \sum_{j=1}^{m-1} \frac{1}{j!} \left(\frac{(2\pi)^n (n!)^2}{n^{2n}}\right)^j \left(\frac{\Gamma(2m+1-j)}{\Gamma(2m+1)}\right)^{n-1} \left(\frac{\zeta(1/2+m-j)}{\zeta(1/2+m)}\right)^{2n}$$

Therefore, we need to show that  $T_n(m) < |X^m| = 1$  for  $n \ge 2$  and m big enough.

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We show that  $T_n(m)$  is also decreasing in m. Therefore, once we have  $T_2(m_0) < 1$  for some  $m_0$ , we then automatically get that  $T_n(m) < 1$  for any  $n \ge 2$  and  $m \ge m_0$ . We do this by showing  $T_n(m+1) - T_n(m) \le 0$ .

# Thank You!